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Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

501.33873VC4

First Inventor

KAWAGOE, et al.

Title

PROCESS FOR MANUFACTURING A SEMICONDUCTOR  
AIWAFA, A SEMICONDUCTOR WAFER ...

Express Mail Label No.

Only for new nonprovisional applications under 37 CFR 1.53(b)

## APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

1. ☒ Free Transmittal Form (e.g., PTO/SB/17)  
 (Submit an original and a duplicate for free processing)

2. ☐ Applicant claims small entity status.  
 See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 11]  
 (Indicate amendment and full basis)

-Descriptive title of the invention  
 -Cross Reference to Related Applications  
 -Statement Regarding Fed sponsored R & D  
 -Reference to sequence listing, a table,  
 or a computer program listing appendix  
 -Background of the invention  
 -Brief Summary of the Invention  
 -Brief Description of the Drawings (if filed)  
 -Detailed Description  
 -Claim(s)  
 -Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: 19]

5. Oath or Declaration [Total Pages: 2]

- a. ☐ Newly executed (original or copy)  
 b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 Named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
 Computer Program (Appendix)  
 8. Nucleotide and/or Amino Acid Sequence Submission  
 if applicable, all necessary

- a. ☐ Computer Readable Form (CRF)  
 b. Specification Sequence Listing on.

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ paper

- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))  
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
 (when there is an assignee)  
 11. ☐ English Translation Document (if applicable)  
 12. ☒ Information Disclosure ☐ Copies of IDS Citations  
 Statement (IDS)/PTO-1449  
 13. ☒ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16. ☐ Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 17. ☒ Other: **Terminal Disclaimer, Claim for Priority,  
 Credit Card Payment Form**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
 or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/513,349

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

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or ☐ Correspondence address below

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Registration No. (Attorney/Agent)

28,565

Signature

William J. Solomon

Date

December 14, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete If Known

Application Number	
Filing Date	December 14, 2001
First Named Inventor	KAWAGOE, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	501.33873VC4

TOTAL AMOUNT OF PAYMENT (\$)**850.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number **01-2135**  
Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**  
☐ Charge Any Additional Fee Required Under 37 CFR 1.1 6 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☐ Check ☒ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740.00
106	530	209	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	190	214	80	Provisional filing fee	

SUBTOTAL (1) **740.00**

### 1. EXTRA CLAIM FEES

Total Claims	11-20**	Extra Claims	Fee from below	Fee Paid
		x	=	=
Indep. Claims	2-3**	=	x	=
Multiple Dependent				=

Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

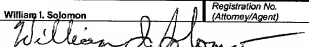
Large Fee Code	Large Fee (\$)	Small Fee Code	Small Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	620*	112	620*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,980	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	450	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(n)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) <u>Terminal Disclaimer</u>					\$116.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**110.00**

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	William I. Solomon	Registration No. (Attorney/Agent)	28,565	Telephone	
Signature		Date	12/14/2001		

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